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15.55/6364

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Examiner: T. L. Dickey  
EBINA )  
Serial No.: 10/050,793 ) Art Unit: 2826  
Filing Date: January 18, 2002 )  
For: SEMICONDUCTOR DEVICES )  
AND METHODS FOR )  
MANUFACTURING THE SAME )

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### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- The specification incorporates comments on the relevancy of Non-English language references.
- Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:
- The Notice of Reasons of Rejection for Japanese Patent Application 2001-011858 (from which priority is claimed in U.S. Serial No. 10/050,792), cites JP05-041488 and JP05-218316, which were previously cited in an IDS. The Notice of Reasons of Rejection for Japanese Patent Application 2000-382395 (from which priority is claimed in U.S. Serial No. 10/014,612), cites JP05-041488 and JP05-218316, which were previously cited in an IDS. An English language abstract has been submitted for JP62-128561.

B.  The information disclosure statement submitted herewith is being filed

- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
- 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
- 3) before the mailing date of a first Office Action on the merits;
- 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114; or
- 5) before the mailing date of a first Office Action after filing of a Continued Prosecution Application.

C.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:

- 1) a Statement under 1.97(e); or
- 2) a fee of \$180 as set forth in 1.17(p).

D.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:

- 1) a Statement under 1.97(e); and
- 2) a fee of \$180 as set forth in 1.17(p).

**STATEMENT UNDER 1.97(e)**

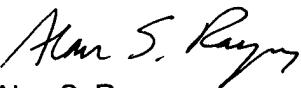
I, the person signing below, certify

that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

■ Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

  
 Alan S. Raynes  
 Reg. No. 39,809      October 16, 2003  
 Date

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**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on Oct. 16, 2003 and is addressed to:  
 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
 Alan S. Raynes      Oct. 16, 2003  
 (Date)

FORM PTO-1449 IREV. 7.80 OCT 17 2003 U.S. Department of Commerce PATENT AND TRADEMARK OFFICE <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)								Attorney Docket No.: 15.55/6364	Serial No.: 10/050,793
								Applicant: <b>EBINA</b>	
								Filing Date: Jan. 18, 2002	Group: 2815

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number							Date	Name	Class	Sub-Class	Filing Date if Appropriate
		5	2	4	0	8	6	5	31Aug93	Malhi			
		6	2	4	6	1	0	4	12Jun01	Tsuda et al.			
		5	9	9	8	8	5	4	7Dec99	Morishita et al.			
		2002/	01	3	0	4	0	9	7Feb02	Oue et al.			
		5	4	5	9	0	8	3	17Oct95	Subrahmanyam et al.			
		5	5	5	2	6	2	4	3Sep96	Skotnicki et al.			
		6	2	2	5	6	6	4	1May01	Endo et al.			
		6	2	3	2	6	4	9	15May01	Lee			

**FOREIGN PATENT DOCUMENTS**

		Document Number							Date	Country	Class	Sub-Class	Translation	
		62-	1	2	8	5	6	1					Yes	No
		62-	1	2	8	5	6	1	10Jun87	Japan			abst.	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	Notice of Reasons of Rejection for Japanese Patent Application No. 2001-011858 (from which priority is claimed in U.S. Serial No. 10/050,792), which cites JP05-041488 and JP05-218316, which were previously cited in an IDS.
	Notice of Reasons of Rejection for Japanese Patent Application No. 2000-382395 (from which priority is claimed in U.S. Serial No. 10/014,612), which cites JP05-041488 and JP05-218316, which were previously cited in an IDS.
	Parke et al., "Bipolar-FET Hybrid-Mode Operation of Quarter-Micrometer SOI MOSFET's," <i>IEEE Electron Device Letters</i> , Vol. 14, No. 5, May 1993, pp. 234-236.

Examiner	Date Considered
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.